U OF U NEUROBIO & ANAT

18015814233 P.02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

UT-0003

Inventors:

Rao and Mujtaba

Serial No.:

09/073,881

Filing Date:

May 5, 1998

Examiner:

J. Kerr

Group Art Unit:

1633

Title:

Common Neural Progenitor for the CNS and

PNS

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

DECLARATION OF MAHENDRA S. RAC

- I, Mahendra S. Rao hereby depose and say:
- 1. I am a co-author along with Anjali Kalyani and Kristin Hopson of the Abstract entitled "Neuroepithelial Stem Cells: Isolation, Characterization and Clonal Analysis" published by the Society for Neurosciences and presented at a meeting November 16-21, 1996.
- 2. I am also a co-inventor along with Tahmini Mujtaba of U.S. Patent Application Serial No. 09/073,881 filed May 6, 1998 which claims priority from U.S. Patent Application Serial No. 08/852,744, filed May 7, 1997 and am most familiar with the subject matter of this application and the research effort which lead to the discovery of the instant invention.

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3. The roles of my co-authors Anjali Kalyani and Kristin Hopson were as follows:

Anjali Kalyani was a graduate student in my laboratory who identified and maintained neuroepithelial stem cells in cultures. However, the focus of her efforts was not directed at neural crest derivation from the neural epithelial stem cells.

Kristin Hopson was a technician in my laboratory responsible for making media, taking pictures, and preparing slides.

While their assistance was a tremendous aid to obtaining the data disclosed in this Abstract, they did not contribute to the actual invention claimed in U.S. Application Serial No. 09/073,881. Anjali Kalyani and Kristin Hopson were properly designated as coauthors in view of their roles in generating and analyzing data disclosed in the publication.

I hereby declare that all statements herein of our own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like

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P.04

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so made are punishable by fine or by imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application, any patent issuing there upon, or any patent to which this verified statement is directed.

Dr. Maheadra S. Rao

02/02/01

Date